

# Interface catalytic reduction of alumina by nickel for the aluminum nanowire growth: Dynamics observed by *in situ* TEM

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## ABSTRACT

Metal nanowires show promise in a broad range of applications and can be fabricated via a number of methods, such as vapor–liquid–solid process and template-based electrodeposition. However, the synthesis of Al nanowires (NWs) is still challenging from the stable alumina substrate. In this work, the Ni-catalyzed fabrication of Al NWs has been realized using various Al<sub>2</sub>O<sub>3</sub> substrates. The growth dynamics of Al NWs on Ni/Al<sub>2</sub>O<sub>3</sub> was studied using *in situ* transmission electron microscopy (TEM). The effect of alumina structures, compositions, and growth temperature were investigated. The growth of Al NWs correlates with the Na addition to the alumina support. Since no eutectic mixture of nickel aluminide was formed, a mechanism of Ni-catalyzed reduction of Al<sub>2</sub>O<sub>3</sub> for Al NWs growth has been proposed instead of the vapor–liquid–solid mechanism. The key insights reported here are not restricted to Ni-catalyzed Al NWs growth but can be extended to understanding the dynamic change and catalytic performance of Ni/Al<sub>2</sub>O<sub>3</sub> under working conditions.

## KEYWORDS

*in situ* transmission electron microscopy (TEM), Al nanowires, alumina reduction, nanowire growth

## 1 Introduction

Extensive studies on one-dimensional (1D) metal nanowires (NWs) are motivated by their unique chemical and physical properties [1–4] and thus, find broad applications in batteries [5, 6], electronics [7–12], sensors [13–17], and catalysis [18–24]. The synthesis of metal nanowires can be achieved by the chemical vapor method and the solution processing method [25, 26]. The vapor–liquid–solid (VLS) process is a routine method for fabricating metal NWs, through gas-phase precursors dissolved into a liquid eutectic phase (called “catalyst”) for supersaturation, followed by NWs growth. VLS is proposed for the anisotropic growth [27] and is directly evidenced by *in situ* transmission electron microscopy (TEM) studies [28, 29]. Direct imaging of each stage in real time provides an opportunity to detail the growth dynamics including step flow kinetics, facets at the nanowire/catalyst interface, and rearrangement of the solid catalyst surface [30]. Three steps, including seed deposition, eutectic droplet formation, and NWs growth, are directly observed by *in situ* TEM in VLS growth of Si NWs [31, 32]. A double-layer growth of GaN nanowires on Au seeds has been revealed by *in situ* TEM [33]. *In situ* TEM imaging of Au-catalyzed GaAs nanowire revealed that the nucleation and layer growth in VLS process can occur on similar time scales, which can be controlled independently using different growth parameters [34].

Among metal NWs, aluminum NWs are desirable for their applications in energy conversion devices (such as batteries and capacitors) [35, 36], sources for integrated circuit (IC) board or package [37], and transparent conductive/optical coatings and conductive inks [38]. So far, many different approaches have been used for the fabrication of Al nanowires, including the template-based electrodeposition or mechanical deformation techniques [39, 40], the chemical vapor deposition (CVD) method [35], the thermally-induced substitution of VLS-grown Ge NWs by Al [37], and liquid-phase replacement of Zn NWs by AlCl<sub>3</sub> [41]. Moreover, in a catalyst-free synthesis of Al NWs from Al films, the removal of surface Al<sub>2</sub>O<sub>3</sub> can impede the formation of Al NWs, and thus, suggesting a key role of Al<sub>2</sub>O<sub>3</sub> [42]. However, all these methods have not been adequate in the synthesis of Al NWs directly from alumina. The growing metal NWs from their cheap substrates is interesting, such as the growth of Si NWs from SiO<sub>2</sub> [43]. The strong oxygen affinity of Al leads to the gaseous Al precursor from H<sub>2</sub> reduction of alumina, which is only generated at high vacuum and temperature 1300 °C [44], and thus, Al NWs are hardly to be synthesized through the VLS mechanism as well.

In this work, we reported the fabrication of Al NWs through Ni-catalyzed reduction of Al<sub>2</sub>O<sub>3</sub>. The growth dynamics of the process was studied using *in situ* TEM. The growth of Al NWs strongly depends on the alumina composition/type and reduction

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temperature. A mechanism has been proposed that the H spillover from Ni nanoparticle (NP) surface could promote the reduction of neighboring alumina phase and the growth of Al NWs, other than the VLS mechanism.

## 2 Experimental

### 2.1 Ni/Al<sub>2</sub>O<sub>3</sub> synthesis

Ni(NO<sub>3</sub>)<sub>3</sub>·6H<sub>2</sub>O, Al(NO<sub>3</sub>)<sub>3</sub>·9H<sub>2</sub>O, NH<sub>4</sub>OH, and Na<sub>2</sub>CO<sub>3</sub>·10H<sub>2</sub>O were purchased from Sigma-Aldrich. The Al<sub>2</sub>O<sub>3</sub> supports were prepared by a co-precipitation method as widely described elsewhere [45]. A desired amount of Al(NO<sub>3</sub>)<sub>3</sub>·9H<sub>2</sub>O (1 M) aqueous solution was precipitated with the basic aqueous solution of NH<sub>4</sub>OH (1 M) or Na<sub>2</sub>CO<sub>3</sub> (1 M) at 60 °C with moderate stirring, and the pH of the suspension was kept between 7 to 9. After precipitation, the suspension was aged under agitation for an hour and then filtered under vacuum. The filter cake was rinsed with deionized water, followed by drying at 80 °C overnight. The solids were calcined with a heating rate of 1 °C·min<sup>-1</sup> in static air and kept the final temperature at 800 or 1000 °C for 4 h for the synthesis of γ-Al<sub>2</sub>O<sub>3</sub> or α-Al<sub>2</sub>O<sub>3</sub>, respectively.

Ni-loading (10 wt.%) on Al<sub>2</sub>O<sub>3</sub> supports were prepared by an impregnation method. The impregnation was employed by addition of powdered Al<sub>2</sub>O<sub>3</sub> to the aqueous solution of Ni(NO<sub>3</sub>)<sub>3</sub>·6H<sub>2</sub>O (1 M). The mixture was stirred for evaporation of the mixture at 80 °C. The obtained solids were calcined in a muffle furnace at 800 °C. The samples prepared using Na<sub>2</sub>CO<sub>3</sub>·10H<sub>2</sub>O are designated as Ni<sub>2</sub>Na/γ-Al<sub>2</sub>O<sub>3</sub> and Ni<sub>2</sub>Na/α-Al<sub>2</sub>O<sub>3</sub>, and those prepared using NH<sub>4</sub>OH are designated as Ni/γ-Al<sub>2</sub>O<sub>3</sub> and Ni/α-Al<sub>2</sub>O<sub>3</sub>.

### 2.2 *In situ* environmental TEM (ETEM) studies

The videos of growth experiments were recorded on ETEM (Hitachi H-9500, 300 kV) with a H<sub>2</sub> pressure of 2 × 10<sup>-6</sup> mbar. The substrate was loaded to the microscope polepiece and resistively heated to 400 °C for 1 h to reduce NiO to Ni, followed by heating to the growth temperature.

The growth mechanism was studied on an FEI Titan ETEM G2 equipped with a GIF Quantum ER, for both *ex situ* and *in situ* characterization. The Ni/Al<sub>2</sub>O<sub>3</sub> nanoparticles were dispersed in ethanol by the ultrasonic method. Then a drop was cast on a PELCO TEM grid (copper grid with lacey carbon film). The gaseous environment was created inside the ETEM column with a gas flow of H<sub>2</sub> and heated by the MEMS heater integrated on the chip. Before collecting images, the sample was stabilized in the gas atmosphere for at least 5 min. The electron dose for the *in situ* TEM imaging was limited to be close to 105 e<sup>-</sup>·Å<sup>-2</sup>·s<sup>-1</sup> in order to avoid the irradiation damage, and the image integration time was 2 s per image (0.5 fps).

## 3 Results and discussion

### 3.1 *In situ* growth of Al NWs

The Ni/Al<sub>2</sub>O<sub>3</sub> catalysts were synthesized as described and confirmed by X-ray diffraction (XRD) (Fig. S1 in the Electronic Supplementary Material (ESM)), respectively. NaAlO<sub>2</sub> was clearly observed with the Na-doped samples (Ni<sub>2</sub>Na/γ-Al<sub>2</sub>O<sub>3</sub> and Ni<sub>2</sub>Na/α-Al<sub>2</sub>O<sub>3</sub>), while no Na addition was observed with the samples prepared using NH<sub>4</sub>OH. Na doping leads to the reflections of Ni/γ-Al<sub>2</sub>O<sub>3</sub> and Ni/α-Al<sub>2</sub>O<sub>3</sub> being slightly shifted to the higher angle. The shifting could be caused by the surface defects upon Na doping and the formation of NaAlO<sub>2</sub>.

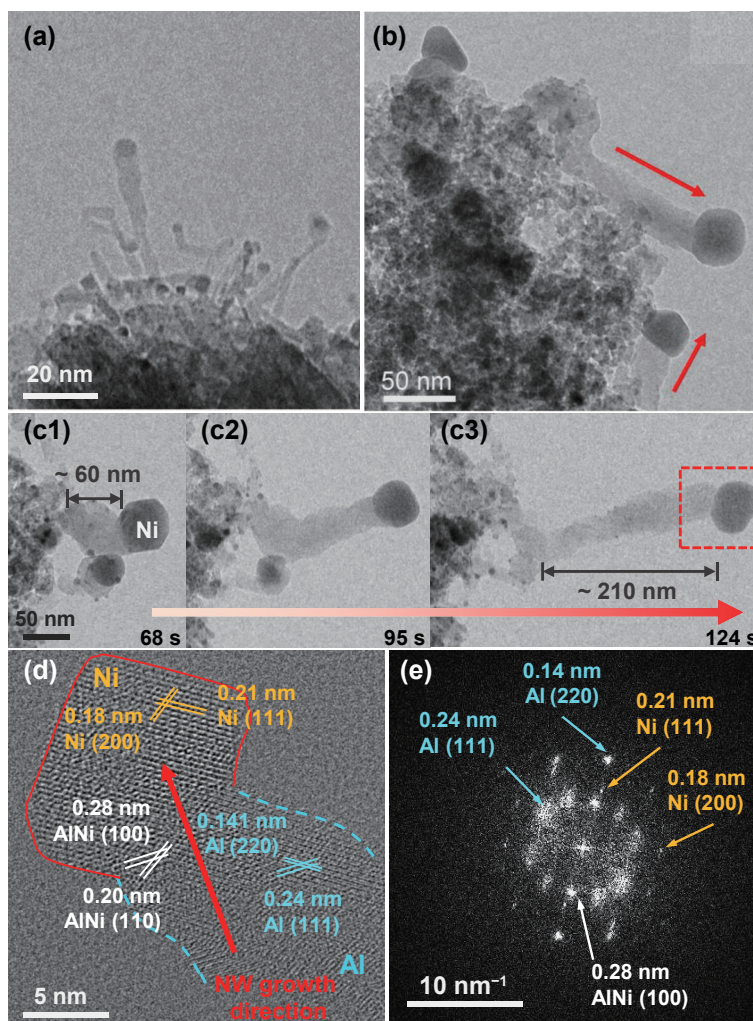
The formation of Al NWs on Ni<sub>2</sub>Na/α-Al<sub>2</sub>O<sub>3</sub> has been studied

upon heating at different temperatures. Figure 1 clearly shows that the metal NWs are formed on Ni<sub>2</sub>Na/α-Al<sub>2</sub>O<sub>3</sub> under heating at 400 °C in hydrogen atmosphere, with diameters ranging from 10 to 30 nm and lengths ranging from 50 to 200 nm. The Figs. 1(c1)–1(c3) display a series of time-resolved over high-resolution TEM (HRTEM) images for Al NW formation. The Al nanowire with lighter contrast was growing along with the reduction proceeding under a Ni particle. The detailed structure investigation HRTEM (Fig. 1(d)) of the nanowire tip demonstrates that the formation of metal NWs is directed with Ni heading. The Ni (111) and Ni (200) panels are observed with lattice distances of 0.21 and 0.18 nm over the top of the nanowire. In considering the reduction of Al<sub>2</sub>O<sub>3</sub> in H<sub>2</sub>, the NWs bodies with lattice distances of 0.24 and 0.14 nm are typically for Al (111) and Al (220), and an interface with the lattice distance of 0.28 nm was generated between Ni NPs and Al NWs. These observed panels are further confirmed on fast Fourier transform (FFT) pattern acquired on the top of Al NWs displayed on Fig. 1(e). Moreover, apart from identifying the component of the nanowire, both Ni (111) and Al (111) facets are vertical to the growth direction of Al NWs. It demonstrated the growth of Al NWs is primarily in the <111> direction under the guide of Ni particle.

The reduction of alumina with hydrogen is thermodynamically unfavored [46], even in the presence of Ni [47]. Dooley et al. [44] reported the reduction of bare alumina with atomic hydrogen to aluminium in a high-vacuum system at 750 °C and gaseous aluminium was detected at 1300 °C based on theoretical studies. Under similar vacuum conditions, the reduction of alumina to aluminium in H<sub>2</sub> could proceed at a lower temperature (400 °C) in the presence of Ni and beam irradiation here. The synthesis of Al NWs at higher heating temperatures (600 to 800 °C, Fig. S2 in the ESM) results in a significant number of dark spots. Distinct dark spots are also observed when aluminium is heated at high temperature under hydrogen atmosphere (700–1700 °C), but not under inert gases [46, 48]. The existence of these dark spots is explained by the conversion of aluminium crystal structure to a more disordered arrangement. On the other hand, the absence of Ni panel accompanied by the presence of dark spots is observed, which is similar to the formation of Si NWs catalysed by Au [7], driven by the thermomigration of metal along NWs towards the hot region. To avoid the disordered arrangement or Ni migration, further dynamic studies on the *in situ* Al NWs growth were performed at 400 °C.

### 3.2 Dynamics of Ni during Al NWs growth

The generation of Al NWs on Ni<sub>2</sub>Na/α-Al<sub>2</sub>O<sub>3</sub>, showing the best performance in the growth of Al NWs, has been studied in detail using *in situ* HRTEM. At the initial stage, the NiO NPs show a polygon shape at 0 min, and the lattice structures of NiO and α-Al<sub>2</sub>O<sub>3</sub> are confirmed in the corresponding HRTEM images (Figs. 2(a) and 2(b)). The reduction of NiO to Ni resulted in polygon shape shrunk during the reaction and part of NiO was reduced to Ni (Fig. 2(c)). The lattice panel with 0.20 nm lattice distance was observed when reaction proceeded to 22 min (Fig. 2(d)) and it corresponds to the (111) panel of Ni. Compared to the *in situ* HRTEM image at 0 and 22 min, it can be determined that the size of NiO particle is reduced when exposed to H<sub>2</sub> environment. After being treated in H<sub>2</sub> for 50 min, the nickel NPs exhibit a smaller and less polygon shape (Fig. 2(f)), even after 60 min reduction (Fig. 2(g)). The reformation of NiO NPs is caused by the removal of oxygen, which is similar to that reported in the *in situ* TEM investigations on the reduction of bulk nickel oxide by Jeangros et al. [49], further confirming the reduction occurred over NiO particle. The relatively longer reduction time could be caused by the low reduction temperature used here. Notably, a slight growth of NWs was observed with the upper Ni NPs (Fig. 2(h)).



**Figure 1** (a) Image of the Al NWs over Ni,Ni/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub>. (b) Image demonstrated the Al NWs growth direction (indicated by red arrow). (c1)–(c3) Time-resolved *in situ* TEM images of Al NWs' growth over Ni,Ni/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> under  $2 \times 10^{-6}$  mbar H<sub>2</sub> and heating at 400 °C. (d) *In situ* HRTEM image for the tip of the Al NWs. The red arrow indicates the Al NWs growth direction. (e) FFT pattern acquired from ROI (red square) of (d).

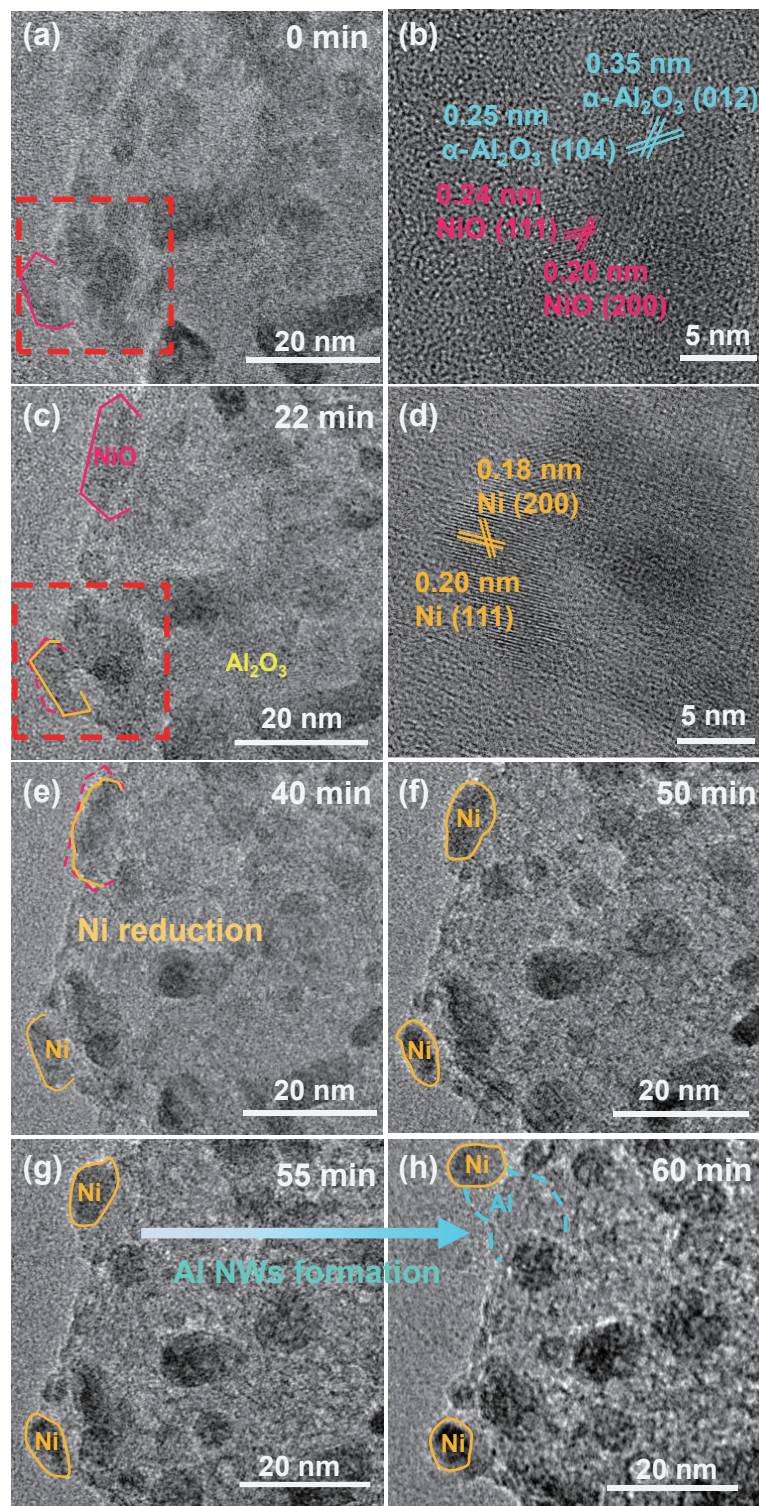
To gain better insight into the growth of Al NWs, *in situ* heating of Ni,Ni/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> in TEM was studied at different stages (Fig. 3). The *in situ* growth of Al NWs was initially observed at 5 min 30 s (Fig. S3(b) in the ESM). The growth of two Al NWs was observed after 17 min and their length increased over 50 nm after 6 min (Figs. S3(c)–S3(e) in the ESM). Figure S3(d) in the ESM confirms the structure of Al NWs and the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> substrate by measuring the lattice distances from two different regions. Similar to the result revealed in Fig. 1, the (111) facet of Al is still vertical to the growth direction of Al NWs, double confirming the Al NWs are growing through  $\langle 111 \rangle$  direction.

Figure 3 displays the gradual catalyst shape change at the initial stage. The reduction of NiO to Ni reduced the size of the Ni NPs. These NPs are in polygon shape with various facets (Figs. 3(b1)–3(b7)). Various phases, including Ni, Al, AlNi, and AlNi<sub>3</sub>, are identified in the local area of Ni NPs in the corresponding FFT pattern of Fig. 3(a7). Considering the lattice distances of Al (111) (0.24 nm) and AlNi<sub>3</sub> (010) (0.26 nm) are similar, the Moiré pattern observed over top of Al NWs in Fig. 3(c) could be caused by superimposition of Al and AlNi<sub>3</sub> overlapped by an angle, which is supported by the spot pair observed in the FFT pattern. In Fig. 3, the absence of eutectic droplet formed demonstrates that the formation of Al NWs is unlikely through the VLS mechanism. The reduction temperature of 400 °C is much lower than the eutectic temperature ( $T_E$ ) of aluminum nickel (> 640 °C). A vapor–solid–solid (VSS) mechanism is often proposed instead of VLS, for the growth of metal NWs with a solid catalyst [31, 50]. By

VSS, a flat interface is commonly generated, but cannot be observed here. Therefore, the mechanism for the growth of Al NWs in this work is further discussed.

### 3.3 Mechanism of Al NWs growth

It should be noted that the common eutectic droplet formation in the VLS growth of NWs [31, 32] is hardly to be observed during Al NWs growth by *in situ* TEM here. Mainly few layers of aluminum nickel are formed due to the reduction of Al<sub>2</sub>O<sub>3</sub> at the interface of Ni and Al<sub>2</sub>O<sub>3</sub>. Therefore, the Ni-catalyzed Al NWs growth is proposed by reducing Al<sub>2</sub>O<sub>3</sub> around Ni NPs with spillover H, other than the common VLS mechanism, as shown in Scheme 1. Ni NPs are first generated via the reduction of NiO NPs in H<sub>2</sub>. Then the nickel mediated hydrogen spillover [51] to the Al<sub>2</sub>O<sub>3</sub> support. The spillover H diffused on alumina support has been reported by van Bokhoven and co-workers [52]. Under H<sub>2</sub> and the beam irradiation, alumina support next to Ni NPs can be reduced to Al atoms, which diffused into the Ni NPs, forming aluminum nickel as shown in Fig. 3. The undercoordinated Ni sites in the aluminum nickel interface could increase H<sub>2</sub> dissociation rate and thus facilitate the reduction of alumina to Al. The Al atoms diffused and accumulated at the bottom of the nanowire as shown in Video ESM1, which promotes the Al NWs growth. It should be noted that the diffusion of spillover H depends on surface three-coordinated Al defects, showing a limitation of diffusion distance on alumina < 15 nm [52]. In this work, the diffusion and rearrangement of surface Al could

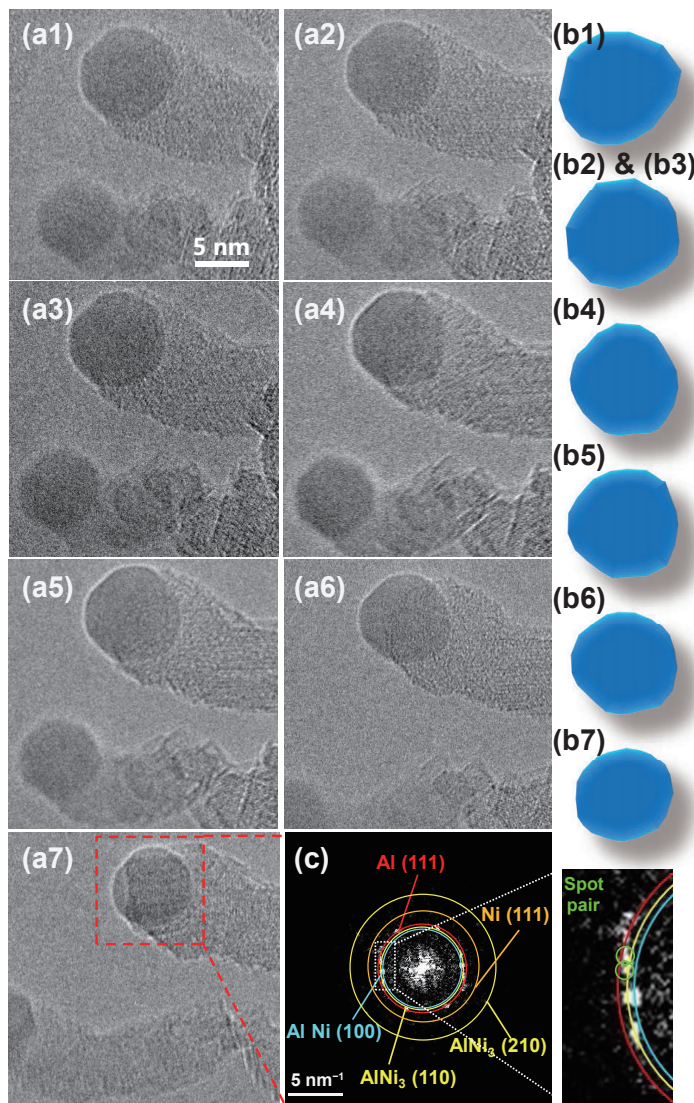


**Figure 2** *In situ* TEM images recorded during reduction of Ni,Na/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> in H<sub>2</sub> at (a) 0, (c) 22, (e) 40, (f) 50, (g) 55, and (h) 60 min. (b) and (d) the corresponding *in situ* HRTEM images recorded at ROI (red square) of (a) and (c), respectively.

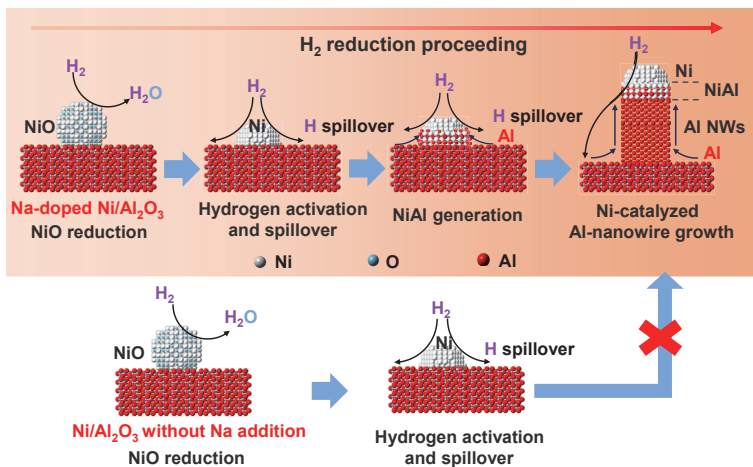
facilitate the generation of rich three-coordinated Al defects on the surface of alumina substrate and thus, promote the H spillover for the significant growth of Al NWs.

The effect of alumina structure and compositions on the formation of Al NWs are investigated using reference catalysts. As shown in Fig. S4 in the ESM, the growth of Al NWs on both the Na-doped Ni/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> and Ni/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub> catalysts (Ni,Na/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> and Ni,Na/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub>) is observed. Without Na addition and the formation of AlNi phase, nearly no change of the Ni/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> and Ni/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub> catalysts prepared using NH<sub>4</sub>OH to replace Na<sub>2</sub>CO<sub>3</sub>·10H<sub>2</sub>O could be observed, even heating at 600 °C after

20 min as shown in Fig. S5 in the ESM. Compared to the Ni/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> and Ni/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub>, a significant amount of NaAlO<sub>2</sub> is formed in those prepared using Na<sub>2</sub>CO<sub>3</sub>·10H<sub>2</sub>O as shown in Fig. S1 in the ESM, which could result in surface disorders or defects on the Al<sub>2</sub>O<sub>3</sub>. Al<sub>2</sub>O<sub>3</sub> support is generally very stable upon reduction in H<sub>2</sub> at 500–800 °C, even in the presence of Ni, which could not be directly used as an Al precursor for Al NWs formation [53, 54], in line with the observation of the Ni/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> and Ni/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub> catalysts prepared using NH<sub>4</sub>OH. Therefore, the formation of NaAlO<sub>2</sub> is proposed to assist in the reduction of Al<sub>2</sub>O<sub>3</sub>. Moreover, the number and length of Al NWs formed on Ni,Na/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub> are



**Figure 3** (a1)–(a7) TEM images recorded at the initial stages of Al NWs growth on Ni/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> in H<sub>2</sub> and (b1)–(b7) the corresponding morphology of nickel catalyst on the substrate at 400 °C. (c) The FFT pattern of ROI region (red square) of (a7).



**Scheme 1** Schematic diagram of the growth and *in situ* heating TEM of the Ni-catalysed Al NWs.

much less than on Ni,Na/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub>. The diffusion of spillover H on alumina support depends on the surface three-coordinated aluminum centers [52]. Figure S1 in the ESM shows that the Na addition could disorder the structure of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> and the content of NaAlO<sub>2</sub> is higher in the Ni,Na/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> than in the Ni,Na/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub> as indicated by the clear and large peaks. Na addition could promote the formation of surface defects, such as three-coordinated aluminum centers. Therefore, the high content of

NaAlO<sub>2</sub> in the Ni,Na/ $\alpha$ -Al<sub>2</sub>O<sub>3</sub> may promote the Ni-catalyzed reduction of alumina compared to the Ni,Na/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub>.

### 4 Conclusions

In this work, the synthesis of Al NWs from Al<sub>2</sub>O<sub>3</sub> substrates was achieved through Ni-catalysed reduction of Al<sub>2</sub>O<sub>3</sub>. The growth dynamics was studied using *in situ* TEM. The growth of Al NWs

is primarily in the <111> direction under the guide of Ni particle. The effect of alumina structures and compositions in Al NWs growth temperature were investigated. We find that an increasing amount of NaAlO<sub>2</sub> upon Na addition to alumina substrate can assist the Ni-catalyzed alumina reduction. The Al growth through the VLS mechanism is less favored since no eutectic mixture of nickel aluminide could be observed. Therefore, a mechanism of Ni-catalyzed reduction of Al<sub>2</sub>O<sub>3</sub> for Al NWs growth has been proposed. The key insights reported here are not restricted to Ni-catalyzed Al NWs growth but can be extended to understanding the dynamic change and catalytic performance of Ni/Al<sub>2</sub>O<sub>3</sub> as a popular emerging catalyst as well [45, 55–57].

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